

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: Not Yet Known  
Applicants: Harald König et al.  
Filed: concurrently herewith  
Title: PISTON UNIT HAVING A CAPTIVE SPRING  
T.C./A.U.:  
Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Reference Nos. DE 196 06 220 A1, DE 33 12 192 A1, and DE 195 20 679 A1 are not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

There is no English translation available for Reference No. DE 28 49 045.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

*Ellen E. Fielitz*

Robert P. Seitter, Reg. No. 24,856  
Ellen E. Fielitz, Reg. No. 54,746  
Attorneys for Applicants

RPS/EEF/dhm

Enclosures: PTO Form 1449  
(9) References

Dated: September 7, 2004

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Sue Hitchcock*  
Sue Hitchcock

Substitute for Form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	Not Yet Known	10/506824
Filing Date	concurrently herewith	
First Named Inventor	Harald König	
Art Unit		
Examiner Name		
Attorney Docket No.	PC10366US	

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-3,522,706	08/04/1970	Bueler	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		DE 28 49 045 A1	05/22/1980	Alfred Teves GmbH		<input type="checkbox"/>
		DE 196 06 220 A1 English Abstract attached	08/21/1997	Festo KG		<input type="checkbox"/>
		DE 33 12 192 A1 English Abstract attached	10/04/1984	Alfred Teves GmbH		<input type="checkbox"/>
		DE 195 20 679 A1 English Abstract attached	06/13/1996	ITT Automotive Europe GmbH		<input type="checkbox"/>
		GB 2 051 988 A	01/21/1981	Wagner Electric Corporation		<input type="checkbox"/>
		GB 2 144 803 A	03/13/1985	Bendix Limited		<input type="checkbox"/>
		GB 2 017 240 A	10/03/1979	The Bendix Corporation		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	Not Yet Known <b>10/506824</b>
Filing Date	Concurrently herewith
First Named Inventor	Harald König
Art Unit	
Examiner Name	
Attorney Docket No.	PC10366US

SHEET 2 of 2

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		International Search Report of Application No. PCT/EP03/02723 dated June 17, 2003	<input type="checkbox"/>
		German Search Report of Application No. 102 12 539.2 dated July 3, 2003	<input type="checkbox"/>
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<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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